

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Alan L. Klein	:
Serial No.	:	Not Yet Assigned	:
Attny No.	:	60,551-002	: GROUP:
Filed	:	Concurrently Herewith	: EXAMINER:
Title	:	METHOD AND SYSTEM FOR MANAGING AND MONITORING AN ORGANIZATION	:

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97 AND 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Pursuant to Rule 97 and in compliance with Rule 56, Applicant hereby makes of record the items listed on the attached Form PTO-1449. Copies of the listed items are enclosed.

None of these items discloses the inventive concepts claimed in the subject application.

Should there be a fee associated with this document, please charge it to Deposit Account No. 08-2789. A duplicate of this paper is enclosed.

Respectfully submitted,

HOWARD & HOWARD ATTORNEYS, P.C.

Date

Enclosures:

PTO/SB/08A w/ 25 Cited References



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The Pinehurst Office Center, Suite #101
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CERTIFICATE OF EXPRESS MAILING

I hereby certify that the enclosed paper or fee is being deposited with the United States Postal Service as Express Mail, postage prepaid, in an envelope as "Express Mail Post Office to Addressee", Mailing Label No. EV282672978US and addressed to the Assistant Commissioner of Patents, Washington, D. C. 20231, on **June 25, 2003**.

Anne L. Kubit

Anne L. Kubit

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Substitute for form 1449/PTO		Complete if Known	
		Application Number	
		Filing Date	
		First Named Inventor	Alan L. Klein
		Art Unit	
		Examiner Name	
Sheet	1	of	3
		Attorney Docket Number	60551-002

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (<i>if known</i>)			
		US- 5,089,970	02/18/1992	Lee et al.	
		US- 5,548,506	08/20/1996	Srinivasan	
		US- 5,761,063	06/02/1998	Jannette et al.	
		US- 5,799,286	08/25/1998	Morgan et al.	
		US- 5,826,252	10/20/1998	Wolters, Jr. et al.	
		US- 5,875,431	02/23/1999	Heckman et al.	
		US- 5,907,490	05/25/1999	Oliver	
		US- 5,960,404	09/28/1999	Chaar et al.	
		US- 5,974,395	10/26/1999	Bellini et al.	
		US- 5,995,959	11/30/1999	Friedman et al.	
		US- 6,023,702	02/08/2000	Leisten et al.	
		US- 6,067,548	05/23/2000	Cheng	
		US- 6,076,105	06/13/2000	Wolff et al.	
		US- 6,085,220	07/04/2000	Courts et al.	
		US- 6,092,050	07/18/2000	Lungren et al.	
		US- 6,169,994 B1	01/02/2001	Lennert et al.	
		US- 6,278,977 B1	08/21/2001	Agrawal et al.	
		US- 6,308,164 B1	10/23/2001	Nummelin et al.	
		US- 6,321,204 B1	11/20/2001	Kazami et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)			

Examiner Signature	Date Considered
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Substitute for form 1449/PTO		Complete if Known	
<p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(Use as many sheets as necessary)</i></p>		Application Number	
		Filing Date	
		First Named Inventor	Alan L. Klein
		Art Unit	
		Examiner Name	
Sheet <u>12</u>	of <u>3</u>	Attorney Docket Number	60551-002

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 of 3

<i>Complete if Known</i>	
Application Number	
Filing Date	
First Named Inventor	Alan L. Klein
Art Unit	
Examiner Name	
Attorney Docket Number	605551-002

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

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		First Named Inventor	Alan L. Klein
		Art Unit	
		Examiner Name	
Sheet 3	of 3	Attorney Docket Number	60551-002

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
	AA	"MOTIVATING THE ORGANIZATION - EXECUTIVE INFORMATION SYSTEMS" by Benford, Pgs. 886-892, Volume-2 of		
		the IEEE 1991 National Aerospace and Electronics Conference NAECON 1991		
	AB	"THE ENTERPRISE-WEB PORTAL FOR LIFE-CYCLE SUPPORT" by Rezayat, Pgs.		
		85-96 of the Computer-Aided Design 32 (2000)		
	AC	"FINANCIAL AUTOMATED MANAGEMENT ENVIRONMENT" by Barnes & Leuze		
		"HIGH-PERFORMANCE COMPUTING AND NETWORKING", Pgs. 946 - 947,		
		April 15-19, 1996		
	AD	"GOST: AN ACTIVE MODELING SYSTEM FOR COSTING AND PLANNING NASA SPACE PROGRAMS" by Castillo et al.		
		Pgs 396-406 of the Proceedings of the Twenty-Fourth Annual International Conference		
		on System Sciences, Vol. III 1991		

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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.
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